DT03 Rec'd PCT/PTO

Approved for use through 3/31/2007. OMB 0651-0021

## U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. TRANSMITTAL LETTER TO THE UNITED STATES ATTORNEY'S DOCKET NUMBER Sartorius-12 DESIGNATED/ELECTED OFFICE (DO/EO/US) (If tho ), Fe 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. PCT/EP2003/006565 INTERNATIONAL FILING DATE PRIORITY DATE 21, June 2003 9, August 2002 TITLE OF INVENTION METHOD AND DEVICE FOR THE BIOTECHNOLOGICAL PRODUCTION OF VALUABLE PRODUCTS APPLICANT(S) FOR DO/EO/US Reiner Luttmann, Wilfried Kappel, Toralf Gliem, Mohammed Saeed Ajam, Lars Boettcher, Bernd-Ulrich Wilhelm, and Wolfgang Rietschel Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include 3. ☒ items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 4. 5. A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) is attached hereto (required only if not communicated by the International Bureau). b. has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 6. is attached hereto. a. has been previously submitted under 35 U.S.C. 154(d)(4). b. $\boxtimes$ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) are attached hereto (required only if not communicated by the International Bureau). Ų have been communicated by the International Bureau. b. have not been made; however, the time limit for making such amendments has NOT expired. C. A have not been made and will not be made. d. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. 🗆 9. 🛛 An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes to the International Preliminary Examination Report under PCT 10. 🗆 Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. 14. An application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 17. 🔲

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).

18. 
A second copy of the published International Application under 35 U.S.C. 154(d)(4).

20. Other items or information:

Page 1 of 2

PTO-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. ( if known, see 37 CFR			INTERNATIONAL A	INTERNATIONAL APPLICATION NO.			ATTORNEY'S DOCKET NUMBER		
10/52138/ PCT/EP2003/006565				06565	Sartorius-12				
21. The following	g fees are submitt	ed:				Арр	licant use	Office use only	
							\$300.00		
⊠ b) Examination fee							\$200.00		
⊠ c) Search fee						\$	\$500.00		
TOTAL OF ABOVE CALCULATIONS = \$1000.00						\$	\$1,000.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.									
Total Sheets	Extra Sheets		f each additional 50 or eof (round up to a whol	e	RATE				
- 100 =	/50 =				x \$250.00	\$	\$0.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).									
CLAIMS	NUMBER	FILED	NUMBER EXTRA		RATE				
Total claims	21	- 20 =	1	х	\$50.00	\$	\$50.00		
Independent clair	ms 2	- 3=	0	х	\$200.00	\$	\$0.00		
Multiple Depen	dent Claims (if a			+	\$360.00	\$			
			OF ABOVE CALC			\$	\$1,050.00		
Applicant clair reduced by 1/	ms small entity sta 2.	itus. See 37 C	FR 1.27. The fees indic	cate	d above are	\$	\$0.00		
				SU	BTOTAL =	\$	\$1,050.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from									
the earliest claimed priority date (37 CFR 1.492(f)). + _ TOTAL NATIONAL FEE =						\$	\$1,050.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must						\$	\$40.00		
be accompanied	be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per +  TOTAL FEES ENCLOSED =						\$1,090.00		
Amount to be refunded:						\$	, , , , , , , , , , , , , , , , , , , ,	\$	
			Amoun	ıt to	be charged:		5	\$	
3 X Ashasi	k in the amount of	¢1 000	00 to cover the a	hove	fees is anclose	·d			
a. A check in the amount of \$1,090.00 to cover the above fees is enclosed.									
	b. Please charge my Deposit Account in the amount of to cover the above fees.  A duplicate copy of this sheet is enclosed.								
c. 🗵 The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment									
d. Soc are to be charged to a credit card WARNING: Information on this form may become public. Credit card									
information should not be included on this form. Provide credit card information and authorization on PTO-2038.  NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or									
(b)) must be filed and granted to restore the International Application to pending status.									
SEND ALL CORRESPONDENCE TO:									
	Anthony J. Casella, Esq.								
Customer No. 001218 CASELLA & HESPOS LLP									
274 Madison Avenue - Suite 1703									
New York, NY 10016							nony J. Casell	<u>a</u>	
Tel. (212) 725- Fax (212) 725-					NAME				
(312)	<del>-</del>						24.005		
	24,095  REGISTRATION NUMBER								
NEGISTRATION NOWIDER									

10/521387 DT12 Rec'd PCT/PTO 1 4 JAN 2005

CERTIFICATE O	Docket No. Sartorius-12									
Applicant(s): Reiner										
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit						
-	Concurrently herewith	-	001218							
Invention: METHOD	AND DEVICE FOR THE	BIOTECHNOLOGICAL PRODUCT	ION OF VALUA	BLE PRODUCTS						
				<del></del> -						
I hereby certify that	the following corresponde	ence:								
U.S. National Phase	of PCT/EP2003/006565									
		Identify type of correspondence)	t- Addresses" -	amilaa undar 27						
		stal Service "Express Mail Post Office								
CFR 1.10 in an env	elope addressed to: Com	missioner for Patents, P.O. Box 1450	, Alexandria, VA	22313-1450 on						
	January 14, 2005									
	(Date)									
	·	Janis van Akelijen (Typed or Printed Name of Person Mailing Correspondence)								
	(Signature of Person Mailing Correspondence)									
		EV 329604669 US ("Express Mail" Mailing Label Number)								
		• •	•							

Note: Each paper must have its own certificate of mailing.

SN6996096EA3